## Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Sun Pharmaceutical Industries Limited
2.	Scrip Code/Name of Scrip/Class of Security: 524715
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending: 30.09.2021
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
Whether the Listed Entity has issued any Convertible Securities?		No
Whether the Listed Entity has issued any Warrants?		No
Whether the Listed Entity has any shares against which depository receipts are issued?		No
5 Whether the Listed Entity has any shares in locked-in?		No
6 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	
7 Whether company has equity shares with differential voting rights?		No
8 Whether the listed entity has any significant beneficial owner?	Yes	

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Sun Pharmaceutical Industries Limited

Table I - Summary Statement holding of specified securities

								Number of Voting Rights held in each class of securities						Numb	er of	Number of Shar	res		
												Locked in		ed in	pledged or otherwise				
															share	s	encumbered		
																			]
								No of Voting Rights											
														Shareholding,					
					No. of			Class eg: X	Class	Total		+		as a %					
					shares		Shareholdin	o.acc og. x	eg: y				No. of Shares	assuming full					
				No. of	underlyi		g as a % of		0				Underlying	conversion of					
				Partly	ng		total no. of						Outstanding	convertible					
				paid-up	Deposit		shares						convertible	securities ( as a		As a %		As a %	
				equity	ory		(calculated						securities	percentage of		of total			Number of equity
Cate		Nos. of	up equity shares		Receipt	Total nos. shares						% of		diluted share	No.	Shares		l .	shares held in
gory	Category of shareholder	shareholders	held	held	s	held	SCRR, 1957)					(A+B+C)	Warrants)	capital)	(a)	held(b)	No. (a)	held(b)	dematerialised form
														(XI)=(VII)+(X)					
						(VII) =	(VIII) As a %							As a % of					
(I)	(II)	(III)	(IV)	(V)	(VI)	(IV)+(V)+ (VI)	of (A+B+C2)			IX)			(X)	(A+B+C2)		(XII)	(XIII)		(XIV)
(A)	Promoter & Promoter Group	14	1307134535		0	1307134535		130713453		)	1307134535			54.48		0 0	85173722		
(B)	Public	675894	1092200435	0	0	1092200435		109220043	5 (	)	1092200435			45.52	! (	0 0	) NA	NA	
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00		0 0	)	0	0.00		0	1 (	0 0	NA NA	NA	
(C1)	Shares Underlying DRs	0	0	1 0	0	0	0		0 0	)	0	0.00		0	1 (	0 0	NA NA	NA	
(C2)		675000	0200224070	0	1 0	0200224070	400.00	220022407	ט נ		0	0.00		400.00	1 9	טן מ	NA NEAT2722	NA 2.55	
	Total	675908	2399334970		0	2399334970	100.00	239933497	ין ע	'	2399334970	100.00	0	100.00	(	υ	85173722	3.55	2392290992

# Sun Pharmaceutical Industries Limited Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Nos. of	No. of fully paid up	Partly paid-		Total nos.		Number of Vo	oting Ri	ghts held in ea	ich class	No. of Shares Underlying	Shareholding , as a %	1	mber of	Number o		Number of equity shares
		share	equity shares		underl	Silui CS IICiu		No of Voting	Rights		Total as	Outstanding	assuming full	1	shares	other		held in
		holder	held	equity			as per			1	a % of	convertible	conversion of		1	encum		dematerialise
		s		share s held	Deposi tory Receip ts		SCRR, 1957 As a % of (A+B+C2)	Class eg: X	Class eg: y	Total	(A+B+C)	securities (including Warrants)	convertible securities (as a percentage of diluted share capital)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held (b)	d form
							(VIII) As a			•			(XI)= (VII)+(X)				•	
	, m	/ ///	(0.0		0.00	(VII) =	% of			(1)()		(%)	As a % of		(VIII)	(VII	III.	(VINA
_	(I)	(III)	(IV)	(V)	(VI)	(IV)+(V)+ (VI)	(A+B+C2)		1	(IX)		(X)	(A+B+C2)		(XII)	(XII	11)	(XIV)
(a)	Indian Individuals / Hindu Undivided Family	-	259370161	0		259370161	10.81	259370161	0	259370161	10.81	(	10.81	-	0.00	1440000	0 5.55	259370161
(a)	Dilip Shantilal Shanghvi	1	230285690	0				230285690			9.60				0.00			
	Sudhir Valia	1 1	14345019					14345019			0.60	_						
	Vibha Dilip Shanghvi	1 1	8840280	0				8840280			0.80		0.80		0.00		0.00	
	Aalok Dilip Shanghvi	1 1	2877280	0	0						0.37		0.37		0.00			
	Vidhi Dilip Shanghvi	1 1	2822427	0	0						0.12		0.12		0.00		0.00	
	Kumud S. Shanghvi	1 1	199465	0	0			199465			0.12				0.00			
/b\	Central Government / State Government(s)	0		0	0			199463		100100	0.01				0.00			
<u> </u>	Financial Institutions / Banks	0		0	0	_				, ,	0.00				0.00		0 0	1
· · /	Any Other (Specify)	8	· -		0		0.00	1047764374	,	, ,	43.67				0.00		9	,
(a)	3 (1 3)	1		0	_			1047764374			0.05							
	Promoter Trust	1			0				_					_				
	Shanghvi Family & Friends Benefit Trust (Kumud S. Shanghvi and Dilip S. Shanghvi)	1	1276774	0	0			_		12.01.1	0.05		0.05		0.00		0.00	
	Persons Acting In Concert	2			0	0020.202		39231202			1.64				0.00			
	Raksha S.Valia	1	28830352	0	0	28830352	1.20	28830352	2 0	28830352	1.20	) C	1.20	) (	0.00	12711400	44.09	28830352
	Unimed Investments Limited	1	10400850	0	0					10400850	0.43	C	0.43	3 (	0.00		0.00	
	Bodies Corporate	5	1007256398	0	0	1007256398	41.98	1007256398	0	1007256398	41.98	i o	41.98	3 (	0.00	58062322	5.76	1007244398
	Shanghvi Finance Private Limited\$	1	967051732	0	0	967051732	40.30	967051732	2 0	967051732	40.30	ol c	40.30	) (	0.00	58062322	6.00	967039732
	Flambovawer Finance Private Limited	1	20865	0	0	20865	0.00	20865	0	20865	0.00	C	0.00		0.00	(	0.00	20865
	Sanghvi Properties Private Limited	1	15479	0	0	15479	0.00	15479	0	15479	0.00	o c	0.00		0.00	(	0.00	15479
	Gujarat Sun Pharmaceutical Industries Pvt Ltd	1		0	0	14362	0.00			14362	0.00	0	0.00	) (	0.00	(	0.00	14362
	Aditya Medisales Limited	1	40153960	0	0	40153960	1.67	40153960	0	40153960	1.67	·	1.67	,	0.00	(	0.00	40153960
	Sub Total (A)(1)	14		0	0					1307134535	54.48							
2	Foreign																	
	Individuals (Non-Resident Individuals /																	
(a)	Foreign Individuals)	0	0	0	0	l 0	0	C	) 0	) o		) c	) o	) (	0		0 0	ا ا
	Government	Ö		_	0		0	ď	0	0	Č	d	0		0 0		0 0	1
	Institutions	0	0	Ō	0	0	0	Ċ	0	0	C	d	0		0		0 0	
\ · /	Foreign Portfolio Investor	0	0	0	0	0	0	ď	0	0	C	i d	0		0		0 0	
	Any Other (Specify)	0	0	0	0	0	0	Ċ	0	0	0	i c	0		0 0		0 0	1
(-/	Sub Total (A)(2)	0	0	0	0		0	Č	0 0	0	0	d	0		0 0		0 0	,
_	Total Shareholding Of Promoter And	14		_	0				1	1307134535	54.48		54.48	1	0.00		2 6.52	1307122535
	Promoter Group (A)= (A)(1)+(A)(2)	'	.557.154000	"		.557.15-7666	3-1.40	.007.10-7000	1	1001 104000	3			`	3.00	0011012	0.02	100.122000

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

In addition to above, they have also informed us that for one of the demat account in the name by erstwhile Sholapur Organics Private Limited (which also pursuant to the above referred Scheme of Amalgamation got amalgamated with SFPL) got transferred in the name of SFPL but the PAN has not been updated by the Depository Participant and still reflects the PAN of erstwhile Sholapur Organics Private Limited.

In view of the above, for the purpose of disclosure in Shareholding Pattern and disclosure w.r.t Significant Beneficial Owners (SBO), we have clubbed the shares held in such Demat account(s) and under PAN of erstwhile Sholapur Organics Private Limited with the shareholding of SFPL

Shanghvi Finance Private Limited ("SFPL") which is a part of the Promoter Group of the Company has informed the Company that, pursuant to Scheme of Amalgamation, 1) erstwhile Family Investment Private Limited; and 2) erstwhile Asawari Investment and Finance Private Limited have inter alia got amalgamated w.e.f. 23.10.2018 with SFPL and thereby the shares held by aforementioned companies in the Company stand transferred in name of SFPL. They also informed that they are in the process of getting the Demat account(s) transferred, which are presently in name of aforementioned companies, to the name of SFPL and advised the Company to club the shareholding of aforementioned companies into the holdings of SFPL.

Sur	Pharmaceutical Industries Limited																		
Tab	le III - Statement showing shareholding pattern of the Pu				T								ı	1					
	Category & Name of the shareholders	PAN	Nos. of share holders		Partly paid-up equity	No. of shares under	Total nos. shares held	Share holding % calculated as	Number of \		ghts held in ea curities	ch class	Underlying Outstanding	Shareholding , as a % assuming full conversion of	Lo	imber of ocked in shares	Shares or otl	nber of s pledged herwise	Number of equity shares held
				shares held	shares held	lying Deposi-		per SCRR, 1957 As a %	No of	F Voting F	Rights	Total as	convertible securities	convertible securities (as a	No.	As a %	No. (a)	Mbered As a %	in dematerialis
						tory Receipts		of (A+B+C2)	Class eg: X		Total	a % of (A+B+C)	(including Warrants)	percentage of diluted share	(a)	of total Shares	( ,	of total Shares	ed form
						Receipts				eg: y		(ATDTC)	vvairants)	capital)		held(b)		held(b)	
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)			(IX)		(X)	(XI)= (VII)+(X) As a % of (A+B+C2)		(XII)	C	XIII)	(XIV)
1	Institutions	1		, ,	` '								` ,	,					
(a)	Mutual Fund		42	281219704	0	0	281219704	11.72	281219704	0	281219704	11.72	0			0 0	NA	NA	281210362
	ICICI Prudential Value Discovery Fund and various Fund Accounts	AAAAI0038F	1	79266543	0	0		3.30	79266543	0	79266543	3.30	0	3.30		0 0	NA	NA	79266543
	SBI-ETF Nifty 50 and various Fund Accounts	AABTS6407Q	1	47217511	0			1.97	47217511	0	47217511	1.97	0	1.97			NA	NA	47217511
	Nippon Life India Trustee Ltd-A/C Nippon India Pharma Fund and various Fund Accounts	AAATR0090B	1	26391536	0	0	26391536	1.10	26391536	0	26391536	1.10	0	1.10		0 0	NA	NA	26391536
	Hdfc Trustee Company Limited-Hdfc Flexi Cap Fund and various Fund Accounts	AAATH1809A	1	25989934	. 0	0	25989934	1.08	25989934	0	25989934	1.08	0	1.08		0 0	NA	NA	25989934
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0 0	NA	NA	0
(c)	Alternate Investment Funds		15	1194231	0	0	1194231	0.05	1194231	0	1194231	0.05	0	0.05		0 0	NA	NA	1194231
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00		0 0	NA	NA	0
	Foreign Portfolio Investor		707	289689561	0	0	289689561	12.07	289689561	0	289689561	12.07	0				NA	NA	289677313
(f)	Financial Institutions / Banks		18	8726883	0	0		0.36	8726883	0	8726883	0.36	0			0 0	NA	NA	8725010
(g)	Insurance Companies		31		. 0	0				0	230093082	9.59			_		NA	NA	230093082
(3)	Life Insurance Corporation of India and its various Funds	AAACL0582H	1	176118105					176118105		176118105	7.34			-		NA	NA	176118105
(h)	Provident Funds/ Pension Funds		-		Ŏ			0.00	0	Ŏ		0.00					NA	NA	0
<u>`</u>	Any Other (Specify)		11	12638	0	0	12638	0.00	12638	0	12638	0.00	0	0.00		0 0	NA	NA	9808
\ <u>'</u>	Foreign Bank		9					0.00	10878	0	10878	0.00	0	0.00		0 0	NA	NA	8928
	UTI and its Schemes and Funds		2	1760	0	0	1760	0.00	1760	0	1760	0.00	0	0.00		0 0	NA	NA	880
	Sub Total (B)(1)		824	810936099	0	0	810936099	33.80	810936099	0	810936099	33.80	0	33.80		0 0	NA	NA	810909806
2	Central Government/ State Government(s)/ President of India																		
	Central Government / State Government(s)		3	6430	0	0	6430	0.00	6430	0	6430	0.00	0	0.00		0 0	NA	NA	6430
	Sub Total (B)(2)		3	6430		0	6430	0.00	6430	0	6430	0.00	0	0.00		0 0	NA	NA	6430
3	Non-Institutions																		
(a)	Individuals																		
,,	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		647266	131576737	0	0	131576737	5.48	131576737	0	131576737	5.48	0	5.48		0 0	NA	NA	124926249
	iii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		38	31435187	0	0	31435187	1.31	31435187	0	31435187	1.31	0	1.31		0 0	NA	NA	31435187
(b)	NBFCs registered with RBI		12	56355	0	0	56355	0.00	56355	0	56355	0.00	0	0.00		0 0	NA	NA	56355
<u> </u>	Employee Trusts			+	0			0.00	0	0	0	0.00	0				NA	NA	0
(q)	Overseas Depositories(holding DRs) (balancing fig)		0	0					0	0	0	0.00		0.00			NA	NA NA	0
(e)	Any Other (Specify)		27751	1 -	_		_		118189627	0	118189627	4.93		0.00			NA	NA	117834430
(-)	IEPF		1	1893208	_				1893208	0	1893208	0.08			_		NA	NA	1893208
	Trusts		26					0.87	20774331	0	20774331	0.87	0	****	_	-	NA	NA	20774331
	Foreign Nationals		13					0.00	16951	0		0.00	0			0 0	NA	NA	16951
	Hindu Undivided Family		10588					0.20	4713201	0	4713201	0.20					NA	NA	4705216
	Foreign Companies		1					0.00	6897	0		0.00				-	NA	NA	6897
	Non Resident Indians	<u> </u>	14163	1				0.32	7735461	0		0.32			_		NA	NA	7502342
	Other Directors		14100						2438747	0		0.10				-	NA	NA	2438747
	Body Corporate-Ltd Liability-Partnership-DR		203						7916700	0	7916700	0.33					NA	NA	7916700
	Foreign Portfolio Investor (Individual)		1						600			0.00				-	NA	NA	600
	Overseas Bodies Corporates		1	46000				0.00	46000		46000	0.00					NA	NA	46000
	Clearing Member		316					0.17	4032176			0.17	0	****		-	NA	NA	4032176
	Bodies Corporate	<u> </u>	2436					2.86	68615355		68615355	2.86	-	****			NA	NA	68501262
	Lakshdeep Investments & Finance (P) Ltd.	AAACL2761N	1					1.18	28409907	0		1.18			_		NA	NA	28409907
$\vdash$	0 L T ( L (D)(0)				1 -		20405007	11.10	004057000		20405007	44.70	-		<del>                                     </del>	-	ALA	NIA.	20 100001

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of Shares

1293

128310

675067 281257906

675894 1092200435

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)

Sub Total (B)(3)

0

281257906

0 1092200435

11.72 281257906

45.52 1092200435

0 281257906

0 1092200435

11.72

45.52

11.72

45.52

0

0 NA

0 NA

NA

NA

274252221

1085168457

<sup>(2)</sup> The above format needs to disclose name of all holders holding more than 1% of total number of shares

<sup>(3)</sup> W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Sι	In Pharmaceutical Industries Limited																	
Ta	ble IV - Statement showing shareholding pattern of the	Non Pror	noter- Nor	n Public sh	nareholder													
			No. of		No. of			Number class of	of Voting securities	Rights h	eld in each	No. of Shares	Shareholding , as a % assuming full conversion of	Number		pledged otherwis	se	- Number of
			fully	Partly	shares		Shareholding					Outstanding	convertible					equity
			1 -		underlyin		la,		ting Righ	te		convertible	securities (as a		As a %		As a %	shares held
		Nos. of	equity	equity	g		as per SCRR,	140 01 40	Ting Right	13	Total as a	securities	percentage of		of total		of total	in
		sharehol	shares	shares	Depositor	Total nos.	1957 As a %	Class	Class		% of	(including	diluted share		Shares		Shares	dematerialis
	Category & Name of the shareholders	ders	held	held	y Receipts	shares held	of (A+B+C2)	eg: X	eg: y	Total	(A+B+C)	Warrants)	capital)	No. (a)	held(b)	No. (a)	held(b)	ed form
						(VII) = (IV)+(V)+	(VIII) As a %						(XI)= (VII)+(X) As a					
	(1)	(III)	(IV)	(V)	(VI)	(VI)	of (A+B+C2)		(	IX)		(X)	% of (A+B+C2)	(	(XII)	(	XIII)	(XIV)
1	Custodian/DR Holder	0	) (	0	0	0	0	) (		) (	0	C	0	0	0	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)					0	0				0 0			0 0	0	) NA	NA	0

0 NA

NA

#### Note:

(C)(1)+(C)(2)

(1) PAN would not be displayed on website of Stock Exchange(s).

Total Non-Promoter- Non Public Shareholding (C)=

- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

#### **Sun Pharmaceutical Industries Limited**

### Table V - Statement showing details of significant beneficial owners

Sr. No.	Details of the s beneficial (I)	-	Details of the registere (II)	d owner	<sup>(1)</sup> Detials	<sup>(2)</sup> Date of creation / acquisition of significant				
	Name	Nationality	Name	Nationality	Shares*	Voting rights*	Rights on Distributable Dividend or any other distribution*	Exercise of Control	Exercise of significant influence	beneficial interest# (IV)
1	Dilip S. Shanghvi	Indian	Shanghvi Finance Private Limited <sup>\$</sup>	Indian	40.30	40.30	40.30	No	No	6/13/2018
2	Dilip S. Shanghvi	Indian	Flamboyawer Finance Private Limited	Indian	0.00	0.00	0.00	No	No	6/13/2018
3	Dilip S. Shanghvi	Indian	Sanghvi Properties Private Limited	Indian	0.00	0.00	0.00	No	No	6/13/2018
4	Dilip S. Shanghvi	Indian	Gujarat Sun Pharmaceutical Industries Pvt Ltd	Indian	0.00	0.00	0.00	No	No	6/13/2018
5	Dilip S. Shanghvi	Indian	Aditya Medisales Limited	Indian	1.67	1.67	1.67	No	No	6/13/2018
6	Dilip S. Shanghvi	Indian	Unimed Investments Limited	Indian	0.43	0.43	0.43	No	No	6/13/2018
7	Dilip S. Shanghvi	Indian	Shanghvi Family & Friends Benefit Trust (Kumud S. Shanghvi and Dilip S.	Indian	0.05	0.05	0.05	No	No	6/13/2018

<sup>(1)</sup> For Disclosure w.r.t. SBO – Calculations for holdings / exercise of right of the SBO in the reporting company, has been done on the basis of the shareholding of the registered owner in the Company and not on proportionate basis.

\$Shanghvi Finance Private Limited ("SFPL") which is a part of the Promoter Group of the Company has informed the Company that, pursuant to Scheme of Amalgamation, 1) erstwhile Family Investment Private Limited; and 2) erstwhile Asawari Investment and Finance Private Limited have inter alia got amalgamated w.e.f. 23.10.2018 with SFPL and thereby the shares held by aforementioned companies in the Company stand transferred in name of SFPL. They also informed that they are in the process of getting the Demat account(s) transferred, which are presently in name of aforementioned companies, to the name of SFPL and advised the Company to club the shareholding of aforementioned companies into the holdings of SFPL.

In addition to above, they have also informed us that for one of the demat account in the name by erstwhile Sholapur Organics Private Limited (which also pursuant to the above referred Scheme of Amalgamation got amalgamated with SFPL) got transferred in the name of SFPL but the PAN has not been updated by the Depository Participant and still reflects the PAN of erstwhile Sholapur Organics Private Limited.

In view of the above, for the purpose of disclosure in Shareholding Pattern and disclosure w.r.t Significant Beneficial Owners (SBO), we have clubbed the shares held in such Demat account(s) and under PAN of erstwhile Sholapur Organics Private Limited with the shareholding of SFPL

Note = \* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.

<sup>(2)</sup> June 13, 2018, the date on which Section 90 of the Companies Act, 2013 as substituted by the Companies (Amendment) Act, 2017 relating to Significant Beneficial Owner was notified by the Ministry of Corporate Affairs, has been considered as the Date of creation / acquisition of significant beneficial interest for the purpose of this disclosure.